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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan et al.

Docket No.: 60021-375602

Serial No.: 09/930,786

Group Art Unit: Unassigned

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Examiner: Unassigned

For: **FINANCIAL PORTFOLIO RISK MANAGEMENT**

Box New Applications and Associated Papers and Fees
Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Please amend the Application and consider the remarks as hereafter provided:

In the Specification:

Please delete the paragraph beginning on page 1, line 6 and replace with the following
so that it reads:

CROSS REFERENCE TO RELATED APPLICATIONS

The present application is a continuation of a parent application serial number 09/705,287, filed November 1, 2000, entitled "FINANCIAL PORTFOLIO RISK MANAGEMENT", of the assignee of the present invention, incorporated herein by reference.

Furthermore, the present application is a continuation-in-part of U.S. application number 09/431,390 entitled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A FINANCIAL RISK MODELING SUBSYSTEM" filed November 1, 1999, and U.S. application number 09/580,352 entitled "SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR PERFORMING A RISK ANALYSIS USING A NETWORK-